

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/711,829

10/07/2004

Thomas H. Whitesides

H-396

26245
DAVID J COLE
E INK CORPORATION
733 CONCORD AVE
CAMBRIDGE, MA 02138-1002



Date Mailed: 11/16/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

APR 1 1 2005

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL		10/711,829 October 7, 2004 Whitesides, Thomas H.						
						Art Unit	2673	
					filing)	Examiner Name	Not Yet Known	
27	Attorney Docket Number	H-396						
	filing)	Filing Date  First Named Inventor  Art Unit  Examiner Name	Filing Date October 7, 2004 First Named Inventor Whitesides, Thomas H. Art Unit 2673 Examiner Name Not Yet Known					

				11-030							
ENCLOSURES (Check all that apply)											
Fee Transr	mittal Form		ving(s)			After Al	liowance comm	nunication to (TC)			
Fee /	Attached	Licer	nsing-related Pape	ers		• •	Communication s and Interference				
Amendmen	nt / Reply	Petiti	tion			Appeal	s and interrerenc al Communicatio al Notice, Brief, Ro	ion to TC			
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Affida	lavits/declaration(s)	Powe	rer of Attorney, Revolute of Corresponde	ocation		Status	Letter				
X Extension c	of Time Request		minal Disclaimer		X	Other E	Enclosure(s) (pl	lease identify			
Express Aba	pandonment Request	Requ	uest for Refund			est for C t (2 page		plication Data			
Information	Information Disclosure Statement		CD, Number of CD(s)			Replacement Application Data Sheet (8 pages)					
Certified Cop	opy of Priority		Landscape Table	on CD		_					
X Reply to Mis	issing Parts/ Application to Missing Parts under R 1.52 or 1.53	Remarks									
	SIGN	ATURE OF A	APPLICANT, AT	TORNEY, OF	RAGEN	1T					
Firm Name											
Signature	Davil "	J. 6	l								
Printed name	David J. Cole										
Date	April 8, 2005			Re	eg. No.	29629	,				
		CEPTIFICAT	E OF TRANSMI	ICCION/MAII	ING						
I hereby certify that the sufficient postage as date shown below.	his correspondence is be	eing facsimile tra	ansmitted to the US	SPTO or deposite	ted with th	he United	I States Postal 5 Indria, VA 22313	Service with 3-1450 on the			
Signature	Down	15-6	Ol _								
Typed or printed nar	me David J. Cole		<u> </u>			Date	April 8 200	ns.			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Serial No.:** 

10/711,829

Application of:

Whitesides, et al.

**Confirmation No.:** 

5828

Filed:

**October 7, 2004** 

**Group Art Unit:** 

2673

**Examiner:** 

**Not Yet Known** 

**Attorney Docket No.:** 

H-396

**Customer No.:** 

26245

Cambridge, Massachusetts April 8, 2005

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued 16 November, 2004 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors. Because the inventors are geographically separated, it was necessary to execute the Declaration in four separate copies, but based on previous experience the undersigned attorney believes this is not objectionable to the Office.

As a result of a review of certain business arrangements entered into by the applicants' assignee, E Ink Corporation, the undersigned attorney has determined that this application is now entitled to small entity status, and accordingly the fees paid herewith are being paid on this basis. It is respectfully requested that the Office Records be changed accordingly.

Whitesides, et al. Serial No. 10/711,829 Response to Notice to File Missing Parts Page 2

Please charge at the small entity rate the additional fee required under 37 CFR 1.16(e) for the late filing of this Declaration to the assignee's Deposit Account No. 501162; a duplicate copy of this paper is enclosed. A duplicate copy of the Notice also accompanies this filing.

Since the normal period for responding to the aforementioned Notice expired January 16, 2005, there is also filed herewith a Petition (Form PTO/SB/22) for a three-month extension of this period.

Also filed herewith is a Request for Correction of Application Data Sheet.

Respectfully submitted

David 5, Col

David J. Cole

Registration No. 29629

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